

POLICY CONCEPT FORM

Name and UO Title/Affiliation:	Ethan Mapes / Senior Export Control Officer
Policy Title/# (if applicable):	Export Control Policy
Submitted on Behalf Of:	Office of the Vice President for Research and Innovation
Responsible Executive Officer:	Jessica Price – Assistant Vice President of Research Integrity

SELECT ONE: **New Policy** **Revision** **Repeal**

HAS THE OFFICE OF GENERAL COUNSEL REVIEWED THIS CONCEPT: **Yes** **No**

If yes, which attorney(s): Draft policy was sent to extensive list of campus leadership for review, including General Counsel Kevin Reed. Kevin Reed did not specifically provide feedback.

GENERAL SUBJECT MATTER

This draft export control policy supports and enables the global research enterprise while ensuring compliance with federal export control regulations, which are listed below. This policy describes the compliance obligations of UO personnel and how the the Office of Export Controls supports them.

RELATED STATUTES, REGULATIONS, POLICIES, ETC.

- [Export Administration Regulations](#)
- [International Traffic in Arms Regulations](#)
- [Office of Foreign Assets Control](#)
- [Foreign Trade Regulations](#)

<i>Section of policy</i>	<i>Relevant university policy</i>	<i>Relevant department-level policy or procedure</i>
	Policy IV.04.02 Travel Policy	
	Policy IV.04.29 Printing and Mailing	
	Policy IV.06.01 Information Security Program	
	Policy IV.06.02 Information Asset Management & Classification Policy	

STATEMENT OF NEED

Export control regulations apply to all UO's engagement with foreign persons and entities. In 2023, the UO staffed and established an inaugural export control compliance program that is now active, comprehensive, and protects and supports all UO international activity. This policy will provide transparency in how the institution meets export control regulations that apply to various international activities throughout campus. Additionally, it will place the UO in better alignment with our Big 10 peers and other R1 universities.

As outlined in this draft policy, the vast majority of export control compliance is achieved through existing administrative procedures, in collaboration with Business Affairs; Mail Services; Sponsored Projects Services; Industry, Innovation, and Translation; and the Division of Global Engagement. Personnel can maintain compliance by adhering to these established procedures. In practice, it is extremely rare for export control requirements to hinder or prevent university activities.

AFFECTED PARTIES

As stipulated by federal export control regulations, this policy applies to all UO personnel. It primarily affects individuals who engage with foreign persons and entities, including, but not limited to, activities related to sponsored research, visas and immigration, international travel, international shipping, agreements with foreign parties, employment abroad, outside activities and conflict of interest disclosures with foreign entities, foreign gift and contract reporting, donations from foreign persons and entities, technology control plans, and cybersecurity.

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See enclosed		

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