

POLICY CONCEPT FORM

Name and UO Title/Affiliation:	Cassandra Moseley, Sr. Associate VP for Research and Innovation, Institutional Official
Policy Title/# (if applicable):	Animals in Research and Instruction, Instructional Use of, 09.00.01
Submitted on Behalf Of:	
Responsible Executive Officer:	David Conover, Vice President for Research and Innovation

SELECT ONE: New Policy Revision Repeal

Click the box to select

HAS THE OFFICE OF GENERAL COUNSEL REVIEWED THIS CONCEPT: Yes No

If yes, which attorney(s): Doug Park

GENERAL SUBJECT MATTER

Include the policy name and number of any existing policies associated with this concept.

Policy 09.00.01: "Animals in Research and Instruction, Instructional Use of" was developed back in 1989 when the UO animal care program did not have any policies on the use of animals in research or teaching. As stated in Policy 09.00.01, the reason for the policy is to "To establish University of Oregon policies for the proper care and use of animals in research and instruction" This Policy provides some general information in its Procedures section about Institutional Animal Care and Use Committee (IACUC) oversight and required documentation and reference to the UO Code of Ethics. However, since the inception of this Policy in 1989, the UO animal care and use program has developed many more detailed policies and procedures that are more current and in compliance with present federal regulations, laws, policies and guidance. In addition, the University of Oregon has become accredited by AAALAC International, (the Association for Assessment and Accreditation of Laboratory Animal Care International).

Per federal regulations, it is primarily the IACUC's responsibility, acting on behalf of the institution, to ensure that the institution has developed appropriate policies and procedures to fulfill the specific legal requirements mandated by these federal and state agencies.

The Policy 09.00.01: "Animals in Research and Instruction, Instructional Use of" is too general and outdated. It no longer serves its original purpose. Moreover, it is not supported by IACUC members, which by federal regulation are to create policies and procedures associated with the care and use of animals in research and instruction.

These current UO policies can be found on the Animal Welfare Services (AWS) website (aws.uoregon.edu) and are used for Level 1 training for any person (faculty, staff, student, volunteer) who works with animals at UO for research, teaching or exhibition purposes.

RELATED STATUTES, REGULATIONS, POLICIES, ETC.

List known statutes, regulations, policies (including unit level policies), or similar related to or impacted by the concept. Include hyperlinks where possible, excerpts when practical (e.g. a short statute), or attachments if necessary. Examples: statute that negates the need for or requires updates to an existing policy; unit level policy(ies) proposed for University-wide enactment; or existing policies used in a new, merged and updated policy.

There are two primary federal agencies that oversee and require compliance of federal laws, regulations and policies, for the use of live vertebrate animals in research, testing, teaching and exhibition purposes:

- USDA APHIS Animal Welfare: <https://www.aphis.usda.gov/aphis/ourfocus/animalwelfare>
- DHHS PHS NIH OLAW: <https://grants.nih.gov/grants/olaw/olaw.htm>

There are also other federal and state agencies that impact the use of live vertebrate animals in research, testing and teaching:

NSF: <https://www.nsf.gov>

DHHS PHS NIH OSP: https://osp.od.nih.gov/wp-content/uploads/NIH_Guidelines.html

OSTP: <https://www.whitehouse.gov/ostp>

OSHA: <https://www.osha.gov>

FWS: <https://www.fws.gov>

CITIS: <https://www.cites.org>

ODFW: <http://www.dfw.state.or.us>

None of the federal and state agencies cited above that govern the use of live vertebrate animals used in research, testing, teaching and exhibition require us to have a policy like Policy 09.00.01: "Animals in Research and Instruction, Instructional Use of". What they do require are defined roles and responsibilities of the various components of the institution's animal care and use program. These components include the Institutional Official, a Veterinary Care Program with an Attending Veterinarian, and an Institutional Animal Care and Use Committee (IACUC) that is charged with the overall responsibility of providing oversight and evaluation of the institution's animal care and use program.

It is primarily the IACUC's responsibility, acting on behalf of the institution, to ensure that the institution has developed appropriate policies and procedures to fulfill the specific legal requirements mandated by these federal and state agencies.

The rules, regulations and policies promulgated by these agencies are continuously being revised and updated. The UO IACUC has developed many more specific and detailed policies and procedures that comply with current federal and state rules, regulations and policies.

As of July 1, 2017, the UO has a new contract with the NIH Office of Laboratory Animal Welfare (OLAW). OLAW is the NIH office that enforces the Public Health Service Policy on the Humane Care and Use of Laboratory Animals (PHS Policy). This contract is technically referred to as our PHS Animal Welfare Assurance (Assurance). Our Assurance contains many more specific policies and procedures than the outdated Policy 09.00.01: "Animals in Research and Instruction, Instructional Use of."

STATEMENT OF NEED

What does this concept accomplish and why is it necessary?

The federal and state agencies cited above cover how live vertebrate animals are acquired, transported used and cared for by the institution. Each agency has developed a separate set of laws, regulations and policies that the institution must abide by in order to be in compliance. It is primarily the IACUC with its overall responsibility of providing evaluation and oversight of the animal care and use program that is responsible for assuring that the UO is in compliance with these federal and state rules, regulations and policies.

The numerous policies created by the UO IACUC since Policy 09.00.01: "Animals in Research and Instruction, Instructional Use of" was created are far more reaching, detailed and targeted to the rules, regulations and policies that are continuously being amended and revised by the agencies listed above. Hence, we believe that Policy 09.00.01: "Animals in Research and Instruction, Instructional Use of" no longer serves its original purpose.

In the interest of accuracy and reducing unnecessary and outdated policies, we strongly recommend removing Policy 09.00.01: "Animals in Research and Instruction, Instructional Use of" since it no longer serves its original purpose.

Finally, according to the University "Policy on University Policies (Policy 1.03.01)": *A University Policy ("Policy:") is a policy that (1) has broad application or impact throughout the University community, (2) must be implemented to ensure compliance with state or federal law, (3) is necessary to enhance the University's mission, to ensure institutional consistency and operational efficiency, or to mitigate institutional risks;*

We believe that the current, outdated "Animals in Research and Instruction, Instructional Use of" Policy no longer serves the criteria above. This Policy only applies to those of the University community who chose to use live vertebrate in research, teaching, testing and exhibition. The number of individuals affected by this Policy are very few in number compared to the entire University community. This Policy is not required to ensure compliance with state or federal law. The current policies and procedures listed on the AWS website as well as detailed policies and standard operating procedures (SOPs) listed on the Terrestrial Animal Care Services (TeACS), the Aquatic Animal Care Services (AqACS) website and the University's AAALAC Program Description (PD) for its Animal Care and Use Program are all used to ensure compliance with federal and state rules, regulations and policies for the use of live vertebrate animals in research, teaching, testing and exhibition. Additionally, not this outdated Policy, but all of our current policies and procedures are utilized to enhance the University's research mission, to ensure institutional consistency and operational efficiency and to mitigate institutional risks.

AFFECTED PARTIES

Who is impacted by this change, and how?

Animal users (faculty, students, and staff) who are responsible for the care and use of animals. However, the repeal of this policy will not really affect them as they are still governed by a much more comprehensive set of policies and procedures related to animal care and use.

CONSULTED STAKEHOLDERS

Which offices/departments have reviewed your concept and are they confirmed as supportive? (Please do not provide a list of every individual consulted. Remain focused on stakeholders (e.g. ASUO, Office of the Provost, Registrar, Title IX Coordinator, etc.).)

Name	Office	Date
Vice Presidents Office for Research and Innovation	Animal Welfare Services, Terrestrial Animal Care Services, Aquatic Animal Care Services, Zebrafish International Resource Center	July 2017
Institutional Animal Care and Use Committee	Represents faculty and staff from those departments and offices that use live vertebrate animals in research, teaching, testing and exhibition, such as the Departments of Biology, Chemistry, Psychology, Human Physiology, Institutes of Neuroscience, Molecular Biology, Ecology and Evolution and the Zebrafish International Resource Center.	July 2017

Reason for Policy

To establish University of Oregon policies for the proper care and use of animals in research and instruction.

Entities Affected by this Policy

Those engaged in animal research or the support thereof.

Web Site Address for this Policy

<http://policies.uoregon.edu/policy/by/1/09-research/animals-research-and-instruction-institutional-use>

Responsible Office

Office of the Vice President for Research and Innovation: 541-346-2090, vpri@uoregon.edu

Enactment & Revision History

02/08/2010 Policy number revised from 1.000 to 09.00.01

03/09/1989 Approved by President Olum

Policy

~~The purpose of research and instruction involving animals at the University of Oregon is the improvement of human or animal health, the advancement of knowledge, or the good of society. This purpose must always be satisfied. The use of animals should only be considered when no other practical alternative exists. When animals must be used, projects will be of sound design and offer every practical safeguard to the animals.~~

~~Procedures:-~~

~~Care and use of animals is monitored by the Institutional Animal Care and Use Committee (IACUC) whose responsibility it is to oversee the animal program, facilities, and procedures as prescribed by Public Health Services (PHS) policies and guidelines. The IACUC membership must~~

~~also conform to PHS standards and requirements. Committee members are appointed by the Vice President for Research.~~

~~As required by PHS policy and regulations, the IACUC shall maintain three documents on file in the office of the Director of Animal Services and in the Office of the Vice President for Research. These documents are a Code of Ethics conforming to PHS standards and to this policy statement, a protocol synopsis of proposed animal use, and a letter to animal users describing the process for protocol review. Persons considering the use of animals in research or teaching may obtain copies of the Code of Ethics and the protocol synopsis from the Director of Animal Services.~~

Related Resources

NA