

# Policy Concept Form

All policy proposals including new policy concepts, recommendations to revise, or requests to repeal must be submitted via this form to the University Secretary. The Secretary will forward completed concept forms to the President's Policy Advisory Council for consideration pursuant to I.03.01 Policy on University Policies. When submitting a policy concept form, please keep the following university definition of "policy" in mind:

*A University Policy ("Policy") is a policy that (1) has broad application or impact throughout the University community, (2) must be implemented to ensure compliance with state or federal law, (3) is necessary to enhance the University's mission, to ensure institutional consistency and operational efficiency, or to mitigate institutional risks; or (4) is otherwise designated by the Board or the President as a University Policy subject to the Policy- Making Process authorized in section 4. A policy establishes rights, requirements or responsibilities. Excluded from this definition are things such as, but not limited to, implementation guides, operating guidelines, internal procedures, and similar management controls and tools.*

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**Policy Subject Matter** (please included existing policy number(s) if available)

Former OUS Policy 12 - Centers and Institutes in OUS

**Statement of Need and Desired Result** (please describe what we accomplish with the proposed action)

This policy, inherited from the OUS during the governance transition, is outdated and unnecessary. Universities no longer need OSU policy to grant authority for things such as the establishment of centers and institutes. Other sections are simply statements from the now non-existent SBHE. The UO has internal guidelines and processes governing centers and institutes. (<http://research.uoregon.edu/about/guidance-documents>)

**Affected Policy Stakeholders** (please list all known impacted stakeholders and the nature of those impacts)

No one is affected by the repeal of this policy. The Vice President for Research and Innovation, the Provost, and General Counsel all agree it should be repealed.

**Proposed Action** (i.e., new, revision, repeal)

Repeal



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## **REASON FOR POLICY**

Needs to be developed

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## **ENTITIES AFFECTED BY THIS POLICY**

Needs to be developed

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## **WEB SITE ADDRESS FOR THIS POLICY**

If the policy is not posted as a separate file on the policy library, please provide the link in the spreadsheet.

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## **RESPONSIBLE OFFICE**

For questions about this policy, please contact the [Executive Office listed in the spreadsheet] at (phone / email).

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## **ENACTMENT & REVISION HISTORY**

*(Adopted by the Oregon State Board of Higher Education, Meeting #427, November 25, 1975; amended Meeting #437, March 25, 1977; Meeting #593, November 16, 1990; amended and approved by the Board's Academic Strategies Committee on June 23, 2011; approved Meeting #851, October 7, 2011.)*

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## **POLICY**

1. That the careful, considered institutional use of the center and institute mechanism be recognized by the Board as a legitimate, potentially valuable approach to the furtherance of institutional mission, through the fostering of interdisciplinary activities in pursuit of basic and applied research and instruction, the attracting of non-state funding in support of institutional mission and goals, the motivation of faculty, the strengthening of academic departments, and the optimized utilization of institutionally-unique resources.
2. That the Board establish the principle that the justification for establishment of centers and institutes must be in terms of their potential for contributing to the achievement of the institutional mission.

The fact that federal or other non-state funds can be secured to fund, totally or in principal measure, a given center or institute cannot be considered justification for the establishment of that center or institute. The real test of justification must be in terms of the extent to which the objectives of the proposed center or institute can be shown to be wholly consistent with and fully supportive of the institution's mission and advance its strategic priorities and goals. Failing the test, the center or institute ought not to be established.

3. That institutions have the authority to establish centers and institutes that do not grant degrees, consistent with their role and mission. The exception would be if an institution wanted to name the center or institute after a living person. In those cases, OAR 580-0500025 requires Board approval.
4. That institutional review of proposals to establish centers and institutes be carried on in a manner that is consistent with the considerations cited in item 2 above while enhancing the entrepreneurial talents of the department or other sponsors of the center or institute.
5. That institutions be asked to establish policies to assure that, at regular intervals each center and institute will be given a careful review by an appropriate institutional agency, the examination to include a review of the purposes for which the center or institute was established, the objectives of the center or institute, any changes in objectives that have occurred since the previous review, the validity of the center's or institute's present objectives and purposes, and the adequacy of its performance.

That based on the review, the institutional provost (or their designee) make decisions regarding improvement, changes in emphasis, alternatives as to direction, changes in leadership, phasing out of the institute or center, or some portions of it, or merging with some other unit within the institution.

6. That when centers or institutes are inactive, they be reviewed under institutional policies to consider whether they ought to be retained or discontinued.

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## **RELATED RESOURCES**

Provide links to forms, external pages, implementation guides, etc. if applicable. Leave blank if not.